

Date: March 26, 2020

At a meeting of the City of Mount Vernon Industrial Development Agency (the "Agency"), held at the Mayor's Conference Room, 1 Roosevelt Square, Mount Vernon, NY 10550 on March 26, 2020 the following members of the Agency were:

Present: Hon. Shawyn Patterson-Howard
Brian G. Johnson, Esq.
Darren M. Morton, Ed. D.
Marcus A. Griffith
Stephanie A. Vanderpool

Absent: None

After the meeting had been duly called to order, the Chair announced that among the purposes of the meeting was to consider and take action on certain matters pertaining to the adoption various policies; and re-adoption of certain related policies of the City of Mount Vernon Industrial Development Agency necessary to implement the provisions of the Public Authorities Accountability Act of 2005 and the Public Authorities Reform Act of 2009, as amended and including all rules and regulation adopted thereto (the "PAAA").

The following resolution was duly moved, seconded, discussed and adopted with the following members voting:

Voting Aye

Hon. Shawyn Patterson-Howard
Brian G. Johnson, Esq.
Darren M. Morton, Ed. D.
Marcus A. Griffith
Stephanie A. Vanderpool

Voting Nay

None

RESOLUTION OF THE CITY OF MOUNT VERNON INDUSTRIAL DEVELOPMENT AGENCY ADOPTING AND RE-ADOPTING CERTAIN RELATED POLICIES (AS DEFINED BELOW) OF THE CITY OF MOUNT VERNON INDUSTRIAL DEVELOPMENT AGENCY NECESSARY TO IMPLEMENT THE PROVISIONS OF THE PAAA AND THE ACT

WHEREAS, by Title 1 of Article 18-A of the General Municipal Law of the State of New York, as amended, and Chapter 304 of the Laws of 1974 of the State of New York, as amended (collectively, the “**Act**”), the City of Poughkeepsie Industrial Development Agency (the “**Agency**”) was created with the authority and power, among other things, to promote, develop, encourage and assist in the acquisition, construction, improvement, maintenance, equipping and furnishing of certain industrial, manufacturing, warehousing, commercial, research and recreation facilities as authorized by the Act in order to promote and maintain the job opportunities, health, general prosperity and economic welfare of the citizens of the City of Mount Vernon, New York and of the State of New York and to improve their standard of living; and

WHEREAS, the New York State Legislature adopted the Public Authorities Accountability Act of 2005 and the Public Authorities Reform Act of 2009, as amended and including all rules and regulation adopted thereto (the “**PAAA**”), designed to ensure that New York’s public authorities operate more efficiently, more openly, and with greater accountability; and

WHEREAS, the PAAA requires that the Agency adopt policies to comply with the provisions of the PAAA including: a Property Disposition Policy (the “**Property Disposition Policy**”); a Whistleblower Protection Policy (the “**Whistleblower Protection Policy**”); a Conflict of Interests Policy (the “**Conflict Policy**”); an Investment Policy (the “**Investment Policy**”); a Real Property Acquisition Policy (the “**Real Property Acquisition Policy**”); a Defense and Indemnification Policy (the “**Defense and Indemnification Policy**”); a Discretionary Funds Policy (the “**Discretionary Funds Policy**”); a Procurement Policy (the “**Procurement Policy**”); an Internal Control Policy (the “**Internal Control Policy**”); and a Compensation, Reimbursement and Attendance Policy (the “**Compensation, Reimbursement and Attendance Policy**”), and all the foregoing policies are collectively referred to herein as the “**Related Policies**”); and

WHEREAS, the Agency previously duly adopted the Compensation, Reimbursement and Attendance Policy, Whistleblower Protection Policy, Procurement Policy, and Code of Ethics Policy; and

WHEREAS, the PAAA requires that the Agency adopt and/or re-adopt its Related Policies annually; and

WHEREAS, to carry out the aforesaid purposes, the Agency has the power under the Act to do all things necessary to fulfill its obligations imposed by the Act and the PAAA.

NOW, THEREFORE, BE IT RESOLVED by the Agency as follows:

The Agency hereby finds and determines:

By virtue of the Act, the Agency has been vested with all powers necessary and convenient to carry out and effectuate the purposes and provisions of the Act and to exercise all powers granted to it under the Act; and

Re-adopting the Related Policies will allow the Agency to continue to operate in compliance with the Act and in compliance with the PAAA, and cause the Agency to operate more efficiently, openly and with greater accountability to the residents of the City of Mount Vernon.

In consequence of the foregoing, the Agency hereby determines to re-adopt and adopt, as the case may be, (i) the Property Disposition Policy, a copy of which is attached hereto as Exhibit A, (ii) the Whistleblower Protection Policy, a copy of which is attached hereto as Exhibit B, (iii) the Investment Policy, a copy of which is attached hereto as Exhibit C, (iv) the Conflict of Interest and Ethics Policy, a copy of which is attached hereto as Exhibit D, (v) the Travel Policy, a copy of which is attached hereto as Exhibit E, (vi) the Defense and Indemnification Policy, a copy of which is attached hereto as Exhibit F, (vii) the Discretionary Funds Policy, a copy of which is attached hereto as Exhibit G, (viii) the Procurement Policy, a copy of which is attached hereto as Exhibit H, (ix) the Internal Controls Policy, a copy of which is attached as Exhibit I, (x) the Compensation, Reimbursement and Attendance Policy, a copy of which is attached hereto as Exhibit J, (xi) the Real Property Acquisition Policy, a copy of which is attached hereto as Exhibit K, (xii) the Uniform Tax Exemption and Recapture Policy, a copy of which is attached hereto as Exhibit L, and (xiii) the Uniform Project Evaluation Policy, a copy of which is attached hereto as Exhibit M.

The Agency is hereby authorized to do all things necessary or appropriate for the accomplishment of the purposes of this resolution, and all acts heretofore taken by the Agency with respect to such activities are hereby approved, ratified and confirmed.

This resolution shall take effect immediately.

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Exhibit A

Property Disposition Policy

Exhibit B

Whistleblower Protection Policy

Exhibit C

Investment Policy

Exhibit D

Code of Ethics and Conflict of Interest Policy

Exhibit E

Travel Policy

Exhibit F

Defense and Indemnification Policy

Exhibit G

Discretionary Funds Policy

Exhibit H

Procurement Policy

Exhibit I

Internal Controls Policy

Exhibit J

Compensation, Reimbursement and Attendance Policy

Exhibit K

Real Property Acquisition Policy

Appendix L

Uniform Tax Exemption and Recapture Policy

Appendix M

Uniform Project Evaluation Policy

Appendix N

Recapture, Discontinue or Suspension of Financial Assistance Policy

Appendix O

Return and Distribution of Recaptured Benefits Policy

Appendix P

Sexual Harassment and Prevention Policy
and Complaint Form

Appendix Q

Compensation, Reimbursement and Attendance Policy