


**CITY OF MOUNT VERNON, NY**

**FISCAL YEAR 2011**

**ANNUAL ACTION PLAN**

**Mayor.**

Clinton I. Young, Jr.

  
**Department of Planning and Development**  
Jeffrey Williams, Commissioner

**Mount Vernon Urban Renewal Agency**  
Carmen Sylvester, Executive Director

CITY OF MOUNT VERNON, NY  
FY 2011 ANNUAL ACTION PLAN

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# AFFIDAVIT OF PUBLICATION

FROM

# The Journal News

CITY OF MOUNT VERNON  
ANNUAL ACTION PLAN FOR  
FISCAL YEAR 2011

Citizens of the City of Mount Vernon are urged to attend a public hearing conducted by the City of Mount Vernon Department of Planning and Community Development ("PCD") to discuss the City's Five Year Consolidated Plan 2010-2014 and the One-Year Action Plan for fiscal year 2011. At this public hearing, PCD Staff will obtain views from citizens, non-profit agencies, neighborhood associations, residents of public and assisted housing and other interested parties on housing, homeless community development needs to be undertaken with federal funds under the Community Development Block Grant ("CDBG"), HOME Investment Partnerships ("HOME"), Emergency Shelter Grant Program (ESG), Housing Opportunities for Persons with AIDS ("HOPWA") and Continuum of Care - Supportive Housing Program (SHP).

Florence Bonilla

being duly sworn says that he/she is the principal clerk of The Journal News, a newspaper published in the County of Westchester and State of New York, and the newspaper annexed is a printed copy, was published in the newspaper area(s) on the date(s) below:

Note: the code to the left of the run dates indicates the zone(s) that the ad was published. (See Legend)

ZONE	DATE
AS	08/04/2010
AS	08/23/2010

Signed \_\_\_\_\_

Sworn to before me

This 3<sup>rd</sup> day of September 20 10

Notary Public, Westchester County

This public hearing will be held on Wednesday, August 11, 2010 at 3:00 p.m. in the City Council Chambers, 2nd fl., City Hall, Roosevelt Square, Mt. Vernon, New York.

The City of Mount Vernon encourages participation of all its residents especially the involvement of very low and/or low income residents, minorities, non-English speaking persons and persons with mobility visual or hearing impairments. Assistance can be made available at the hearing to persons with disabilities or requirements for Spanish speaking residents upon prior request by calling (914)699-7230.

Nancy F. ...  
Qualified in Westchester County  
Commission Expires ...

CDBG applications will be available for distribution on July 30, 2010 at the office of the Department of Planning & Community Development, City Hall, Roosevelt Square, 2nd fl., Mount Vernon, NY 10550, and must be submitted by Friday, August 27, 2010 at 4:30 p.m. Proposals submitted after this date will not be considered for funding. NO PROPOSALS WILL BE ACCEPTED AT THIS PUBLIC HEARING.

**ELIGIBLE ACTIVITIES**  
1) CDBG funds may be used for acquisition, demolition, clearance removal or rehabilitation of real property and blighted buildings; the construction, reconstruction, or installation of public works projects; neighborhood facilities and other site improvements; relocation assistance; economic development; assistance to the elderly or handicapped; City, State and Federal programs of the One-Year Action Plan and administrative costs.

2) HOME funds are used for the preservation and up grading of existing housing stock so that low and moderate income renters can live in affordable units that meet necessary codes and standards and to provide affordable home ownership opportunities to low and moderate income Mount Vernon households. 80% of HOME funds have been designated to for the development of affordable rental units at 60 West First Street.

3) HOPWA funds are used to address the need for housing opportunities and supportive services for persons with AIDS, related diseases and their families.

4) SHP funds are used to address the need for housing assistance to homeless families and individuals and persons with special needs to prevent homelessness.

### PROGRAM ELIGIBILITY REQUIREMENTS

In accordance with HUD's federal regulations, at least one of the following primary objectives must be met by all funded activities:

The activity must principally benefit low and moderate income families.

The activity must aid in the prevention or elimination of

### Legend:

- Northern Area (AN):**  
Amawalk, Armonk, Baldwin Place, Bedford, Bedford Hills, Briarcliff Manor, Buchanan, Chappaqua, Crompond, Cross River, Croton Falls, Croton on Hudson, Goldens Bridge, Granite Springs, Jefferson Valley, Katonah, Lincolndale, Millwood, Mohegan Lake, Montrose, Mount Kisco, North Salem, Ossining, Peekskill, Pound Ridge, Purdys, Shenorock, Shrub Oak, Somers, South Salem, Verplanck, Waccabuc, Yorktown Heights, Brewster, Carmel, Cold Spring, Garrison, Lake Peekskill, Mahopac, Mahopac Falls, Putnam Valley, Patterson
- Central Area (AC):**  
Ardsley, Ardsley on Hudson, Dobbs Ferry, Elmsford, Greenburg, Harrison, Hartsdale, Hastings, Hastings on Hudson, Hawthorne, Irvington, Larchmont, Mamaroneck, Pleasantville, Port Chester, Purchase, Rye, Scarsdale, Tarrytown, Thornwood, Valhalla, White Plains
- Southern Area (AS):**  
Bronxville, Eastchester, Mount Vernon, New Rochelle, Pelham, Tuckahoe, Yonkers
- Greater Westchester (GW or LGW):**  
Includes Northern area, Southern area and Central area. (See details below each area)
- Westchester Rockland (WR):**  
Includes Greater Westchester area and Rockland area.
- Rockland Area (JN or RK):**  
Blauvelt, Congers, Garnerville, Haverstraw, Hillburn, Monsey, Nanuet, New City, Nyack, Orangeburg, Palisades, Pearl River, Piermont, Pomona, Sloatsburg, Sparkill, Spring Valley, Stony Point, Suffern, Tallman, Tappan, Thiells, Tomkins Cove, Valley Cottage, West Haverstraw, West Nyack

- Review Press Express (XBV):**  
Bronxville, Eastchester, Scarsdale, Tuckahoe
- Sound Shore Express (XSS)**  
Purchase, Port Chester, Rye, Harrison, Mamaroneck, Pelham
- White Plains Express (XWP)**  
Elmsford, Hartsdale, Hawthorne, Valhalla, White Plains
- Yorktown and Cortlandt Express (XYC)**  
Amawalk, Buchanan, Cortlandt Manor, Croton-on-Hudson, Jefferson Valley, Mohegan Lake, Montrose, Ossining, Yorktown Heights
- Northern Westchester Express (XNW)**  
Armonk, Bedford, Bedford Hills, Briarcliff Manor, Chappaqua, Goldens Bridge, Katonah, Millwood, Mount Kisco, North Salem, Pound Ridge, Purdy's, Somers, South Salem, Thornwood, Rockland Express (XRK)
- Blauvelt, Congers, Garnerville, Haverstraw, Hillburn, Nyack, Orangeburg, Palisades, Pearl River, Piermont, Spring Valley, Tappan, Thiells, Tomkins Cove, Sloatsburg, Valley Cottage, West Haverstraw, West Nyack**
- LoHud Express Putnam (LHPN)**  
Baldwin Place, Brewster, Carmel, Cold Spring, Garrison, Putnam Valley, Patterson
- LoHud Express Rivertowns (LHRT)**  
Ardsley, Dobbs Ferry, Hastings, Irvington, Tarrytown
- LoHud Express Yonkers/Mount Vernon (LHYM)**  
Mount Vernon, Yonkers

AD# 3037994

**NOTICE OF PUBLIC HEARING  
CITY OF MOUNT VERNON  
ANNUAL ACTION PLAN FOR FISCAL YEAR 2011**

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**This public hearing will be held on Wednesday, August 11, 2010 at 3:00 p.m. in the City Council Chambers, 2nd fl., City Hall, Roosevelt Square, Mt. Vernon, New York.**

The City of Mount Vernon encourages participation of all its residents especially the involvement of very low and/or low income residents, minorities, non-English speaking persons and persons with mobility visual or hearing impairments. Assistance can be made available at the hearing to persons with disabilities or requirements for Spanish speaking residents upon prior request by calling (914)699-7230.

CDBG applications will be available for distribution on **July 30, 2010** at the office of the Department of Planning & Community Development, City Hall, Roosevelt Square-2nd fl., Mount Vernon, NY 10550 and must be submitted by **Friday, August 27, 2010 at 4:30 p.m.** **Proposals submitted after this date will not be considered for funding. NO PROPOSALS WILL BE ACCEPTED AT THIS PUBLIC HEARING.**

**ELIGIBLE ACTIVITIES**

- 1) **CDBG funds** may be used for acquisition, demolition, clearance removal or rehabilitation of real property and blighted buildings; the construction, reconstruction, or installation of public works projects; neighborhood facilities and other site improvements; relocation assistance; economic development; assistance to the elderly or handicapped; public services; preparation of the One-Year Action Plan and administrative costs.
- 2) **HOME funds** are used for the preservation and upgrading of existing housing stock so that low and moderate income renters can live in affordable units that meet necessary codes and standards and to provide affordable home ownership opportunities to low and moderate income Mount Vernon households. 80% of HOME funds have been designated to for the development of affordable rental units at 60 West First Street.
- 3) **HOPWA funds** are used to address the need for housing opportunities and supportive services for persons with AIDS, related diseases and their families.
- 4) **SHP funds** are used to address the need for housing assistance to homeless families and individuals and persons with special needs to prevent homelessness.

**PROGRAM ELIGIBILITY REQUIREMENTS**

In accordance with HUD's federal regulations, at least one of the following primary objectives must be met by all funded activities:

- ◆ The activity **must** principally benefit low and moderate income families.
- ◆ The activity **must** aid in the prevention or elimination of slum and blight.
- ◆ The activity **must** be designed to meet community development need having a particular urgency, not addressed by other financial resources.

Persons unable to attend the public hearing who wish to express their views on housing and housing community development needs may submit such views in writing to the Department of Planning and Community Development, City Hall, Roosevelt Square - 2nd fl. Mount Vernon, NY 10550 Attn: Carmen Sylvester, Executive Director no later than August 27, 2010.

FROM  
**The Journal News**

CECILIA HERNANDEZ

being duly sworn says that he/she is the principal cl  
News, a newspaper published in the County of Westchester and State of New York, and the  
annexed is a printed copy, was published in the newspaper area(s) on the date(s) below:

Note: the code to the left of the run dates indicates the zone(s) that the ad was published. (S

ZONE	DATE
AS	10/05/2010
AS	10/12/2010

Signed Cecilia Hernandez

Sworn to before me

This 19<sup>th</sup> day of October 20 10

Notary Public, Westchester County

**NOTICE OF PUBLIC HEARING**  
**CITY OF MOUNT VERNON**  
**NEW YORK**

**2011 COMMUNITY DEVELOPMENT BLOCK GRANT PROPOSED BUDGET**

Citizens of Mount Vernon are urged to attend the Fiscal Year 2011 Community Development Block Grant (CDBG) Public Hearing on the proposed budget conducted by the City of Mount Vernon Department of Planning and Community Development. **THE PUBLIC HEARING WILL BE HELD ON TUESDAY, OCTOBER 19, 2010 AT 3:00 P.M. IN THE CITY COUNCIL CHAMBERS - SECOND FLOOR, CITY HALL, ROOSEVELT SQUARE, MOUNT VERNON, NY**

**ACTIVITY, AMOUNT**

**I. NEIGHBORHOOD REVITALIZATION**

Commercial Facade Improvement, \$50,000  
Neighborhood Business District Improvements, \$100,000  
Special Code Enforcement, \$150,000  
Housing Rehabilitation, \$79,578  
Technical Assistance, \$79,578  
Small & Multi Family Rehabilitation, \$240,000  
Sub-Total, \$1,119,578

**FLOOR**  
Notary Public  
No. 1  
Qualifies  
Commission E

**II. PUBLIC SERVICES**

Construction Trades Training Program, \$200,000  
Collin Allen Day Care, \$3,000  
Community Service Associates, \$20,000  
Jewish Council of Yonkers, \$3,000  
Knights of the Square Table, \$3,000  
Literacy Volunteers of America (Westchester Chap), \$5,000  
National Builders Assoc., \$10,000  
Mount Vernon Day Care Center, \$3,000  
Mount Vernon Basketball Association, \$3,000  
Mount Vernon United Tenants, Inc., \$5,000  
New Beginnings Educational Institute, \$3,000  
Recreation Dept. Razor Backs Football, \$5,000  
Recreation Dept. (Wee K&H Holiday Nutrition), \$10,000  
Recreation Dept. Basketball League, \$5,000  
Recreation Dept. Teen in Action, \$5,000  
Trinity Place Community Center, \$3,000  
Westchester Co-Op (APPS Program), \$3,000  
Westchester Co-Op (CAG Day Care), \$3,000  
Westchester Co-Op (victims Assistance), \$5,000  
Westchester Co-Op (To address Park Daycare), \$3,000  
Westchester Co-Op (Community School Initiative), \$5,000  
Westchester Jewish Community Council, \$3,000  
Westchester Residential Opportunities, Inc. (Senior Housing Counseling), \$5,000  
Westchester Residential Opportunities, Inc. (Fair Housing Education), \$10,000  
Youth Bureau (Youth Employment Program), \$20,000  
Youth Bureau (Scholarship Program), \$25,000  
Sub-Total, \$368,000

**ACTIVITY, AMOUNT**

**III. ECONOMIC DEVELOPMENT**

African American Chamber of Commerce, \$18,500  
Sub-Total, \$18,500

**III. PLANNING AND ADMINISTRATION**

General Administration, \$388,520  
Sub-Total, \$388,520

**IV. CONTINGENCIES**

\$48,000

**TOTAL 2011 CDBG BUDGET, \$1,942,598**

These activities are planned to satisfy the following local community development objectives as set forth by HUD rules and regulations. All activities benefit low and/or moderate-income persons and/or eliminate slums and blight.

**Neighborhood Revitalization** - Stabilize and revitalize neighborhoods through code enforcement, infrastructure improvements, prevention and elimination of slums and blight and rehabilitation of commercial buildings.

**Public Services** - provides necessary public services including day care, nutrition, tutoring, counseling, recreation and cultural activities.

Legend:  
Northern Area (AN): Amawalk, Armonk, Baldwin Place, Bedford, Bedford Hills, Briarcliff Manor, Buchanan, Chappaqua, Crompond, Cross River, Croton Falls, Croton on Hudson, Goldens Bridge, Granite Springs, Jefferson Valley, Katonah, Lincolndale, Millwood, Mohegan Lake, Montrose, Mount Kisco, North Salem, Ossining, Peekskill, Pound Ridge, Purdys, Shenorock, Shrub Oak, Somers, South Salem, Verplanck, Waccabuc, Yorktown Heights, Brewster, Carmel, Cold Spring, Garrison, Lake Peekskill, Mahopac, Mahopac Falls, Putnam Valley, Patterson  
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Westchester Rockland (WR): Includes Greater Westchester area and Rockland area.  
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Northern Westchester Express (XNW) Armonk, Bedford, Bedford Hills, Briarcliff Manor, Goldens Bridge, Katonah, Millwood, Mount Kisco, Pound Ridge, Purdy's, Somers, South Salem, Tarrytown, Westchester  
Rockland Express (XRR) Blauevit, Congers, Garnerville, Haverstraw, Hillburn, Mnsey, Nanuet, New City, Nyack, Orangeburg, Palisades, Pearl River, Piermont, Pomona, Sloatsburg, Sparkill, Spring Valley, Tappan, Thiells, Tomkins Cove, Valley Cottage, West Haverstraw, West Nyack  
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LoHud Express Yonkers/Mount Vernon (LHY) Mount Vernon, Yonkers

AD# 3075276

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<u>ACTIVITY</u>	<u>AMOUNT</u>	<u>ACTIVITY</u>	<u>AMOUNT</u>
<b><u>I. NEIGHBORHOOD REVITALIZATION</u></b>		<b><u>III. ECONOMIC DEVELOPMENT</u></b>	
Commercial Facade Improvement	\$ 50,000	African American Chamber of Commerce	\$18,500
Neighborhood Business District Improvements	300,000		
Special Code Enforcement	150,000	Sub-Total	\$18,500
Housing Rehabilitation Technical Assistance	379,578		
Small & Multi Family Rehabilitation	240,000	<b><u>III. PLANNING AND ADMINISTRATION</u></b>	
Sub-Total	\$ 1,119,578	General Administration	\$ 388,520
<b><u>II. PUBLIC SERVICES</u></b>		Sub-Total	\$ 388,520
Construction Trades Training Program	200,000	<b><u>IV. CONTINGENCIES</u></b>	
Collin Allen Day Care	3,000		\$ 48,000
Community Service Associates	20,000	<b>TOTAL 2011 CDBG BUDGET*</b>	
Jewish Council of Yonkers	3,000		<b>\$ 1,942,598</b>
Knights of the Square Table	3,000		
Literacy Volunteers of America (Westchester Chap)	5,000		
National Builders Assoc.	10,000		
Mount Vernon Day Care Center	3,000		
Mount Vernon Basketball Association	3,000		
Mount Vernon United Tenants, Inc.	5,000		
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Westchester Co-Op (Toddlers Park Daycare)	3,000		
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Westchester Jewish Community Council	3,000		
Westchester Residential Opportunities, Inc. (Senior Housing Counseling)	5,000		
Westchester Residential Opportunities, Inc. (Fair Housing Education)	10,000		
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Youth Bureau (Scholarship Program)	25,000		
Sub-Total	\$ 368,000		

These activities are planned to satisfy the following local community development objectives as set forth by HUD rules and regulations. All activities benefit low and/or moderate-income persons and/or eliminate slums and blight.

**Neighborhood Revitalization** - Stabilize and revitalize neighborhoods through code enforcement, infrastructure improvements, prevention and elimination of slums and blight and rehabilitation of commercial buildings.

**Public Services** - provides necessary public services including day care, nutrition, tutoring, counseling, recreation and cultural activities.

**Economic Development** - encourage economic growth and employment through loans, technical assistance to small and minority businesses, creation of employment opportunities and job training.

For further information regarding the proposed budget, contact Carmen Sylvester at the Department of Planning and Community Development, City Hall, Roosevelt Square, Mount Vernon, NY 10550 between 8:30 a.m. to 4:30 p.m. Monday through Friday.

**CITY OF MOUNT VERNON  
NOTICE OF AVAILABILITY OF  
FISCAL YEAR 2011 ACTION PLAN**

The City of Mount Vernon, through the Department of Planning and Community Development ("PCD"), is advising the citizens that Year 2011 Action Plan document is available for review and comments. Year 2010 federal allocations are estimated to be follows: ***\$1,942,598 Community Development Block Grants ("CDBG") and \$691,168 HOME Investment Partnerships ("HOME") programs.***

The 2011 Action Plan describes the specific activities to be undertaken under the CDBG and HOME. The plan was developed after two (2) public hearings were held to obtain the views of citizens on housing and community development needs, including non-housing community development needs and the proposed use of federal funds and objectives.

The majority of the activities will take place in the CDBG target area principally benefiting low and/moderate income persons, aid in the prevention or elimination of slum and blight and to address other community development need having a particular urgency that is not addressed by other financial resources.

The 2011 Action Plan will be available for review and comments for a 30-day period from Monday thru Friday between the hours of 8:30 a.m.- 4:30 p.m. at the office of PCD. ***All comments must be submitted by May 27, 2011 in writing to the Department of Planning and Community Development, City Hall, Roosevelt Square-2nd fl., Mt. Vernon, NY 10550 - Att: Carmen Sylvester, Executive Director.***

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## **ANNUAL ACTION PLAN**

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### **A. DESCRIPTION OF KEY PROJECTS**

The City of Mount Vernon One-Year Action Plan outlines the proposed use of approximately \$2.6 million in CDBG and HOME funds. These funds will be spent mainly on the following eligible activities:

1. **\$350,000** for special public infrastructure needs to upgrade and revitalize business commercial areas in the downtown Central Business District and its surrounding neighborhoods under the Center City Improvement Program (CCIP), a HUD approved Urban Renewal Area. Activities will be undertaken under the Neighborhood Business District Improvement and the Commercial Facade Rehabilitation programs.
2. **\$1,287,954** for rehabilitation assistance for low-and-moderate income housing, including the construction of 177 units of affordable rental units, and code enforcement activities for buildings located in the CDBG eligible target areas.
3. **\$386,500** for public services programs such as day care, drug abuse counseling, meals to the elderly, youth programs, scholarship programs, job training program, tenants' counseling, etc.
4. **\$103,675** for the development of affordable housing units to low-and-moderate income households.
5. **\$457,636** in administrative and planning costs.

All activities are designed to benefit low and/or moderate-income persons and/or eliminate slums and blight. Therefore, the city will implement and continue to fund activities in census tracts which will result in a direct area or low/moderate clientele benefit. Approximately 80% of CDBG funding will be dedicated to CDBG target areas.

**Program Eligibility and Geographic Distribution**

The income definitions used for the Consolidated Plan, which is part of the federal CDBG and HOME program, are based on definitions used by HUD. Persons or households with an income less than 80 percent of the area median income (AMI) are within the income range targeted by the goals, policies and strategies of the Consolidated Plan. Census block groups where 51 percent or more of the households have incomes less than 80 percent AMI are referred to as CDBG target areas.

**Table 1  
Federal Income Definitions**

<b>Income (% of AMI)</b>	<b>Federal CDBG Definition</b>
0-30%	Extremely Low
31-50%	Low
51-80%	Moderate
<b>Income (% of AMI)</b>	<b>Federal HOME Definition</b>
0-30%	Extremely Low
31-50%	Very Low
51-80%	Low

The Department of Housing and Urban Development (HUD) estimates the median family income for each area and establishes a base area median income (AMI) for an average family of 4 persons. Area median incomes and income ceilings and limits for Westchester County are established as if the County were not part of a larger metropolitan statistical area.

HUD also uses the area median income to establish fair market rents. HUD identified the 2010 Westchester County Median Family Income as \$104,700. The following table provides Section 8 median income limits for the County (50 percent of median income) and the CDBG income threshold (80 percent of median).

**Table 2  
HUD 2010 Section 8 Income Limits**

<b>Westchester County, NY</b>								
<b>Household Size</b>								
	1 Person	2 Person	3 Person	4 Person	5 Person	6 Person	7 Person	8 Person
30% of Median	\$22,000	\$25,150	\$28,300	\$31,400	\$33,950	\$36,450	\$38,950	\$41,450
Very Low Income (50%)	\$36,650	\$41,900	\$47,150	\$52,350	\$56,550	\$61,550	\$64,950	\$69,150
Low Income (80%)	\$52,950	\$60,500	\$68,050	\$75,600	\$81,650	\$87,700	\$93,750	\$99,800

**Table 3  
Final Fiscal Year 2010 Fair Market Rents (FMR)**

Efficiency	One-Bedroom	Two-Bedroom	Three-Bedroom	Four-Bedroom
\$1,169	\$1,394	\$1,621	\$1,955	\$2,410

In addition to Section 8 rent limits, rent limits are also set for the HOME program. These are identified as High HOME Rent, the maximum rent (including utilities) for units targeted to households earning 60 percent AMI, and the Low HOME Rent, which is the maximum rent (including utilities) for units targeted to households earning 50 percent AMI.

**Low-Income Concentrations**

The locations with concentrations of low- and moderate-income households in Mount Vernon are shown on the following map. Areas of low- and moderate-income concentration in the City of Mount Vernon are defined as those block groups where at least 51 percent of the households are classified as having incomes that are less than or equal to 80 percent of the County's median household income.

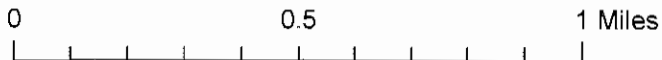
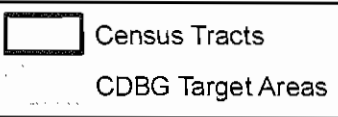
Minority and Low-Income Concentrations: While African-Americans (or Black) constitute a majority in Mount Vernon at 59% of the population, the ethnic distribution is not even across the City's twenty Census tracts. Nine tracts have African-American populations exceeding 70%, and six of these exceed 90%. The six tracts with the lowest median household incomes have African-American populations exceeding 87%. Hispanics, who make up about 10% of the city's total population, are concentrated in two Census tracts in which they make up greater than 25% of the population (see following maps).

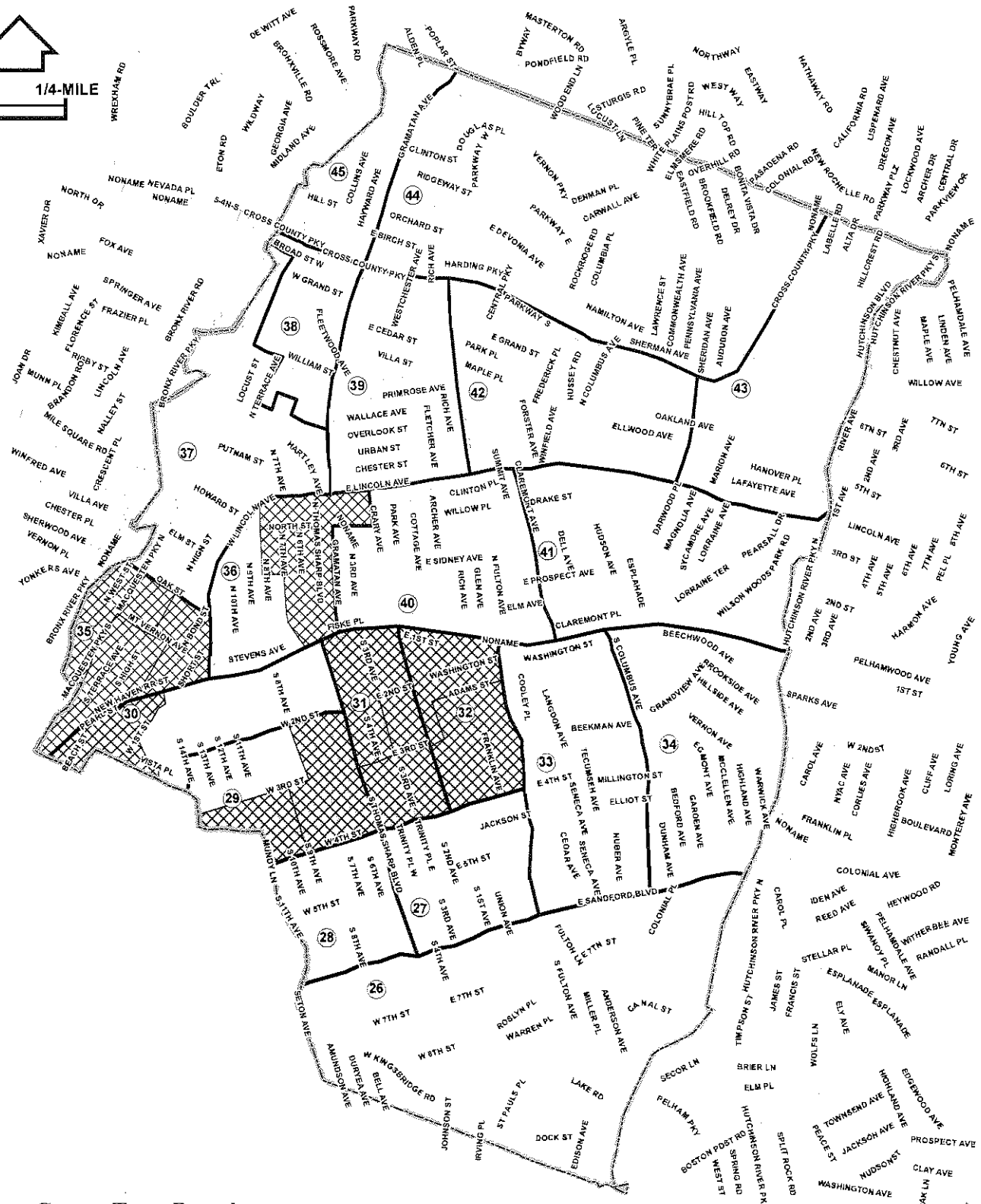
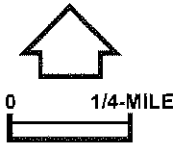
The definition of racial/ethnic minority concentration used is a census tract where the percent of minority population exceeds the community-wide percentage. An area is defined as a low-income area if the number of persons with income levels below 80 percent of median income adjusted for family size represents 51 percent or more of the population of the area.

The City of  
Mt Vernon, NY



CDBG Target  
Areas Map





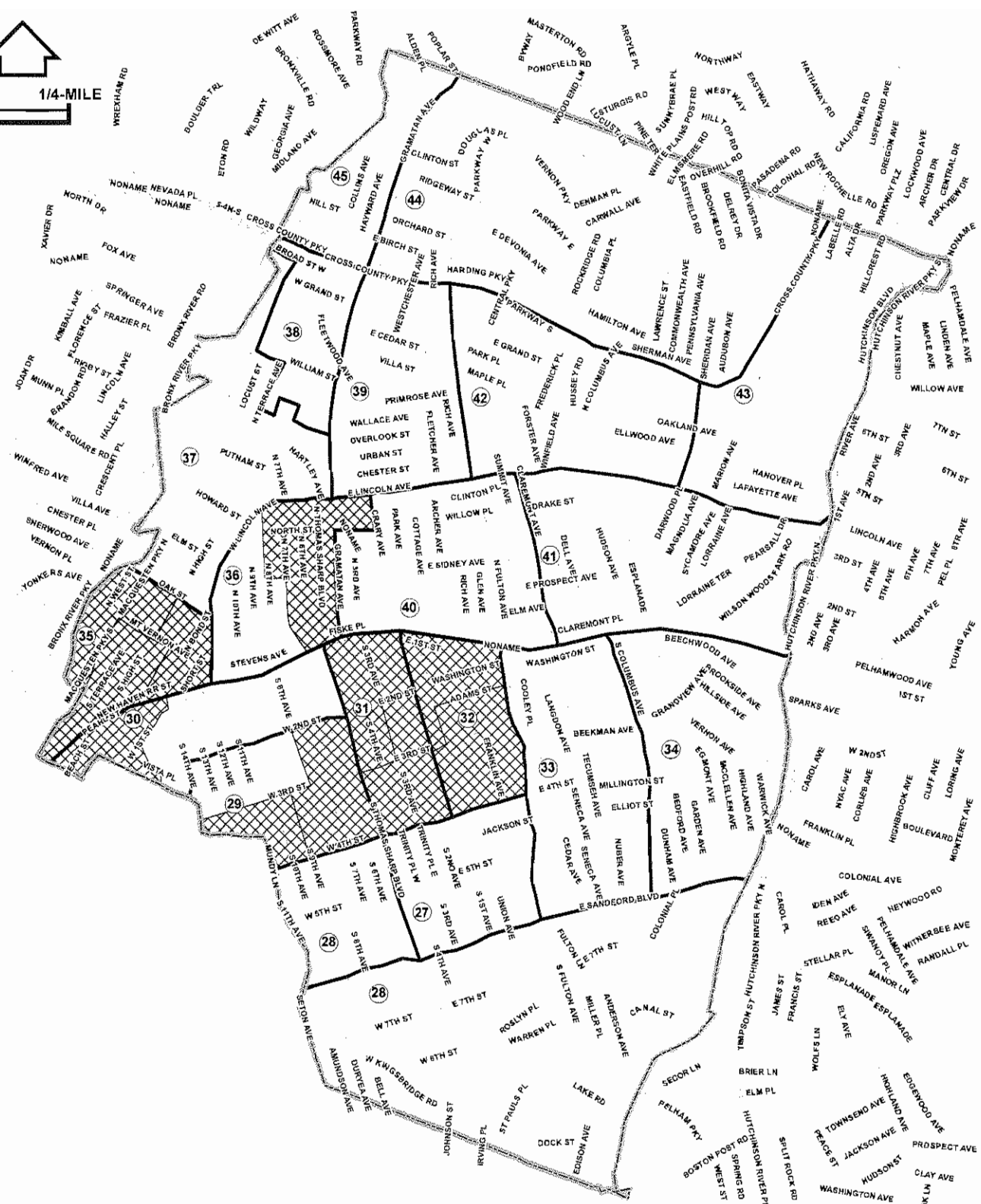
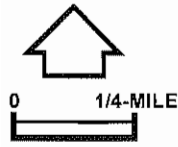
- Census Tract Boundary
- Census Tracts with Lower Percentage than Citywide
- Census Tracts with Greater Percentage than Citywide
- ▣ CDBG Target Areas

## BLACK POPULATION

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### CITY OF MOUNT VERNON, NEW YORK

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- Census Tract Boundary
- Census Tracts with Lower Percentage than Citywide
- Census Tracts with Greater Percentage than Citywide
- ▣ CDBG Target Areas

## HISPANIC POPULATION

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### CITY OF MOUNT VERNON, NEW YORK

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## **Locations**

A minimum 70% of Community Development Program funds will principally benefit low and moderate income persons (those with incomes below 80% of the area median family income). At least 20% of the funds allocated to public services for social services needs assisting low-and-moderate income clientele. CDBG entitlement funds will create jobs to low-and-moderate income individuals. In addition, 75% of the HOME funds will be used for development and construction of 177 units of affordable rental housing and 15% of HOME funds will be allocated to non-profit Community Housing Development Organizations ("CHDOs"). CDBG and HOME activities are proposed for census tracts 27 through 36 which are located on the City's south side and the minority concentration is very high. The city's minority population as reported in the 2000 census is approximately 70%. In addition, the number of families/individuals living in these census tracts is low to moderate income with a large number at or near poverty rate. Therefore, in FY 2011 the city will implement and continue to fund activities in these census tracts which will result in a direct area or low/moderate clientele benefit.

## **Lead Agencies**

The Lead Agencies responsible for insuring that these funds are administered in accordance with HUD's rules and regulations are the City of Mount Vernon's Department of Planning and Community Development and the Mount Vernon Urban Renewal Agency. Public services programs are administered by non-profit organizations acting as sub-recipients of federal funds through the City of Mount Vernon.

## **Housing Goals**

The City of Mount Vernon housing goals include the construction of a total two hundred sixty (260) affordable rental units. One hundred and fifty nine (159) units as part of the Atlantic Development located at 203 Gramatan Avenue and forty two (42) units at 60 West First Street. In addition, fifty nine (59) senior affordable rental units benefiting low income households in conjunction with potential other funding sources including State of

New York Division of Housing and Community Renewal funds, Tax Credits, Housing Finance Agency Bonds, Mount Vernon IDA and private investment. Families at or below 60% of the area median income for Westchester County, New York are eligible for the units. It is expected that approximately four (4) low/moderate income property owners will be provided with assistance through the Residential Rehabilitation and Housing Rehabilitation Technical Assistance Programs for the 2011 program year.

### Other Funding Source

The City of Mount Vernon, applied for funds under the Housing Opportunities for Persons with AIDS ("HOPWA") Program through the County of Westchester in the approximate amount of \$385,000. These funds will be administered by the Mount Vernon Urban Renewal Agency to provide technical and support assistance through various activities such as HIV related housing and support services, coordination of services, educational services, case-management, nutritional services, rent subsidy, financial emergency assistance.

Under the Supportive Housing Program (SHP) the City received funds through the Continuum of Care (CoC) for SHP programs to provide services and housing opportunities for the City's homeless population. The SHP programs include skills training programs for families in order to obtain and retain permanent housing, a substance abuse outreach program, job training and readiness programs, a family strengthening program, harm reduction and chemical outreach programs, and a rehousing assistance program.

SHP projects include the following:

Sponsor	Project	Type	\$ Request
Renaissance	Chemical Dependency Outreach	SHP Renewal	\$43,260
City of Mount Vernon	Harm Reduction and Outreach	SHP Renewal	\$49,749
City of Mount Vernon	Homeless Employment Initiative	SHP Renewal	\$171,675
Mt. Vernon United Tenants	Rehousing Assistance Program	SHP Renewal	\$30,450
Westhab	Mt. Vernon OWN	SHP Renewal	\$33,273
City of Mount Vernon	Shallow Rent Program	SHP Renewal	\$143,031



Renaissance	Chronic Homeless Outreach	SHP Renewal	\$37,800
City of Mt. Vernon	Mt. Vernon Rent SPC II		\$73,668
City of Mt. Vernon	Mt. Vernon Rent SPC III		\$117,096
City of Mt. Vernon	Mt. Vernon Rent SPC 4		\$96,600
City of Mt. Vernon	Mt. Vernon YMCA SPC		\$63,144

In 2010, eleven projects submitted for renewal were approved for funding in the amount of \$979,886 and no new projects were approved by HUD. The City in 2009 received \$763,146 which, funded ten renewal and no new projects under the Supportive Housing Program.

In addition, to the federal funding sources the City's home-ownership and rental housing programs receives funding from New York State Housing Trust Fund, Westchester County Acquisition Fund, Westchester County Housing Implementation Fund, New York State Affordable Housing Corporation, New York State Tax Credit Program, Industrial Development Agency Special Low-Income Housing Bonds and private developers capital.

In order to achieve the City's economic development goals and objectives assistance and funding from New York State Economic Development Program Incentives, Industrial Development Agency Revenue Bonds, New York State Job Development Authority, Small Business Administration Guarantee funds, New Markets Tax Credit funds, Empire State Development Corporation low interest loans and grants and New York State Legislative allocations and capital from private developers assist in getting the projects completed.

Funding from many sources including Department of Housing and Urban Development Economic Development Initiatives, United States Department of Energy, Federal Emergency Management Agency (FEMA) through Department of Homeland Security for Assistance to Firefighter and Fire Prevention and Safety, New York State Department of Criminal Justice Services, Department of Justice – Office of Juvenile Justice Delinquency Prevention, New York State Department of State, New York State Council of the Arts, New York State SARA grant, New York State Legislative allocations, New York State Parks and Historic Preservation grants, US Department of Interior, National

Parks Service, United States Congressional allocations, Westchester County - Urban Area Security Initiative and private funding are used to enhance the City's commercial revitalization and community development efforts.

Under the American Recovery and Reinvestment Act (ARRA) of 2009 the City received Community Development Block Grant Recovery (CDBG-R) Program funding in the amount of \$487,414 which was designated for public facilities improvement activities along the Third Street Corridor. Under the Homeless Prevention and Rapid Re-Housing Program (HPRP) the City utilize \$745,701 to provide financial assistance for homelessness prevention and housing relocation and stabilization services.

Under the Housing and Economic Recovery Act (HERA) of 2008, the City of Mount Vernon has partnered with Westchester County to request Neighborhood Stabilization Program funds to address foreclosure and vacancy issues and to stabilize neighborhoods.

**Matching Requirements:**

The City will continue to use developer's equity, grants from New York State Housing Programs, Industrial Development Agency Bonds, Housing Trust Funds, Housing Implementation funds and private financing to meet the required 25% match under the HOME Investment Partnership Program.

The following programs are administered by the Mount Vernon Urban Renewal Agency:

**Community Development Block Grant Program ("CDBG")**

These funds are awarded to the City of Mount Vernon on an annual basis and used to address the following eligible activities: acquisition, demolition, clearance removal or rehabilitation of real property and blighted buildings; the construction, reconstruction or installation of public works projects; neighborhood facilities and other site improvements; relocation assistance; economic development; job training, assistance to the elderly or handicapped; youth programs; public service, planning and administration cost. The City

expects to receive approximately \$1,942,598 of CDBG funds. The primary objective of this program is the development of a viable urban communities by providing decent housing, suitable living environment and expanding economic opportunities, principally for persons of very-low, low income and lower income. The CDBG Program will address the following activities:

- a. **Neighborhood Revitalization** addresses the need of public infrastructure activities, housing rehabilitation assistance and technical assistance.
  
- b. **Public Service Activities** address the needs of the residents of the community by providing services such as employment opportunities, crime prevention, child care, drug abuse, education, youth services.
  
- c. **Economic Development** addresses the need to improve commercial or industrial buildings, acquisition and construction of a shopping center, to provide employment and job training opportunities and to expand businesses which in turn will create jobs for low and/or moderate income residents.
  
- d. **Planning and Administration** (including contingencies) funds to be used for administrative expenses and costs related to the planning and execution of community development activities

The City will insure that all funded CDBG activities will comply with the requirements set forth in 24 CFR Part 570. These proposed activities are listed in Section C.

**B. RESOURCES AND ACTIVITIES TO BE UNDERTAKEN IN RELATIONSHIP TO HOUSING PRIORITY NEEDS**

In relation to the Housing Priority Needs, the City will address these needs using CDBG funds as follows:

***PRIORITY 1: To preserve and upgrade the existing housing stock so that extremely, very low, low and moderate income owners and renters can live in affordable units that meet necessary codes and standards.***

**CDBG Funds*****Housing Rehabilitation Programs***

Mount Vernon proposes to meet this priority during Fiscal Year 2011 through the rehabilitation of existing housing units. \$240,000 is being allocated for Housing Rehabilitation Program. The activities provide for the administration of housing rehabilitation programs, the rehabilitation of small properties, supportive services and other related services for Fiscal Year 2011.

The City currently operates the following rehabilitation programs under the *CDBG Program* to address Priority 1:

***Small Property Residential Rehabilitation Program*** - offers technical assistance, direct loans and deferred payment loans for the rehabilitation of one-to-four dwelling units. The majority of rehabilitation funds will be used for the rehabilitation of housing units benefiting residents of low and/or moderate income.

***Multi-Family Rehabilitation Program*** - offers low interest rate loans to property owners of multi-unit structures throughout the City. At least 51% of the building tenants must be considered income eligible in order for funding to be considered. (Income eligible is

defined as a household income not to exceed 80% of the Income Limits for Westchester County as established by HUD).

Both Rehabilitation Programs offer low interest rate loans to property owners of single and multi-unit structures throughout the city. At least 75% of the rehabilitation loan is to be used to remove code violations, health and safety issues such as lead paint and asbestos abatement, and to improve the property's energy efficiency including bonafide preventive maintenance for the property.

Based upon the level of funds expected to be available in 2011, the City anticipates that approximately four (4) housing units will be provided with rehabilitation assistance in addition, low/moderate property owners will be provided with technical assistance in the form of cost estimates, inspections, writing specifications and monitoring of projects for participation in the Westchester County Lead Abatement Program, Office of the Aging, Housing Action Council and Westchester Residential Opportunities Housing programs. The proposed goals include CDBG funds for direct rehabilitation cost. The City continues to make an extensive marketing outreach to encourage the participation of homeowners in the renovation of existing housing.

### **HOME Funds**

The City of Mount Vernon expects to receive approximately \$691,168 in HOME funds of which 75% will be allocated to address housing needs through the construction of 11 units of affordable rental housing and preserving existing housing stock so that low and moderate income owners and renters can live in affordable units that meet necessary codes and standards.

15% of HOME funds will be used to develop affordable housing units to enhance rental and home ownership opportunities for families at or below 80% of the area median using the HUD uncapped income limits for Westchester County. The City intends to meet these goals by targeting its allocation for both substantial rehabilitation of rental housing and for the construction of new single family housing.

HOME funds, Industrial Development Agency, New York State Division of Housing and Community Renewal, New York State Housing Finance Agency, Westchester County Housing Implementation funds, Westchester County New Homes Acquisition Program, Low Income Tax Credits and Private Capital are being used in these HOME assisted projects.

**Rental Rehabilitation Program Income Funds**

As of November 2010, there is approximately \$304,322 available under the *Rental Rehabilitation Program Income funds*. These funds will be allocated to eligible projects for the rehabilitation of rental housing and single family owner-occupied buildings or Community Development Block Grant eligible activities.

***PRIORITY 2: To continue to provide assistance to low, very low and extremely low income households with alleviating the cost burden for housing that meets housing quality standards.***

The County of Westchester administers the Section 8 Program, which provides assistance to Mount Vernon residents. In addition, Levister Towers, Ebony Gardens, Greater Centennial, Sunnybrook, Intown Towers and Petrillo Plaza are assisted through the Section 8 Project Based Assistance Program. In the last five years, over 600 units of affordable housing were constructed and/or rehabilitated with Tax Credit bonds and these units are occupied with individuals/families earning 30-60% of the area median income and the units are also subject to the tax credit rents.

***PRIORITY 3: To increase affordable homeownership opportunities to low and moderate income households.***

**HOME Funds**

15% of HOME allocation funds will be set aside for Community Housing Development Organizations (CHDOs) activities including the development of affordable first time homeownership units.

The role of the CHDOs in preserving, upgrading and expanding the City's housing stock so that low and moderate income residents can live in safe, affordable housing is part of the City's Housing Priority Needs. The City awards HOME funds in the form of grants to eligible CHDOs on the basis of first-come-first-serve for the construction and development of new housing units to enhance opportunities of low and moderate income residents. The use of these funds will be carried out in accordance with HOME Program guidelines. Over the 2010-2014 Consolidated Plan period, the CHDO proposes to utilize HOME funds for direct down payment assistance to eight (8) eligible first time home buyers. The County of Westchester also funds these activities under its New Homes Land Acquisition and Housing Implementation Fund Programs and is using the HUD uncapped income limits for these projects. In an effort to coordinate this project effectively, the City will use the 80% of area median income (AMI) for Westchester County to qualify purchasers.

The City's HOME funds will be in the form of down payment assistance grants and all units assisted are committed to remain affordable through the affordability period. HOME assisted housing will meet the affordability requirements for not less than the applicable period specified in the following table.

**Table 4**  
**Periods of Affordability**

<b>Homeownership Assistance HOME Amount per Unit</b>	<b>Minimum period of affordability</b>
Under \$15,000	5 years
\$15,000 to \$40,000	10 years
Over \$40,000	15 years

In addition, the City has agreements in place with the CHDO's and/or developers and at the time the units are sold and or leased-up. In addition, the first time home buyer's program required that the purchasers signs a HOME Note and Mortgage Agreement which includes the recapture conditions pursuant to the HOME rules and regulations. The property deed also contain the HOME recapture conditions which allows the City to recoup all or a portion of the HOME assistance to the home buyer if the housing does not continue to be the principal residence of the family during the period of affordability as required under the HOME program rules and regulations 24CFR 92.254.

The City of Mount Vernon will not use its HOME Program funds in FY 2011 to provide any other forms of assistance other than the activities identified in Priority 1 and Priority 3.

The City of Mount Vernon Department of Planning & Community Development (PCD) is responsible for the City's affirmative Marketing and Minority Outreach Program as required under the HOME program rules and regulations 24 CFR 92.351. The City in its effort to promote housing education and outreach has sponsored seminars and workshops for home buyers, landlord and tenants. The PCD staff work with property owners, developers and CHDOs to outreach and market housing units. Legal notices are published in the market area identifying the project by location and activity and each notice complies with the fair housing laws. The equal housing opportunity logotype is used on all notices, press releases and solicitation materials. A lottery is generally conducted for the home buyers program.

In addition the Department of Planning & Community Development also conducts a minority outreach program on behalf of the City. This office identifies minority and women owned businesses through solicitation of MBE's and WBE's to register with the City. PCD staff work closely with the City to maintain and update a master list of local and surrounding area Minority and Women Owned Businesses. Businesses that are 51% women or minority owned are encouraged to be certified by New York State. PCD staff also conducts workshops for women and minority businesses.

#### **ACTIONS TO BE UNDERTAKEN TO MEET HOMELESS NEEDS**

***PRIORITY 4: To continue to assist its homeless residents by providing housing and supportive public services and to prevent homelessness by providing those at risk with supportive services. This priority includes the special needs population of persons with disabilities; the elderly; persons with AIDS; and low income families.***

According to the Continuum of Care Point-in-Time Homeless Count (for Westchester County), there were a total of 1,339 homeless individuals. This total includes 237 households with dependent children. In addition 448 adults (singles also include



individuals that are childless couples) and unaccompanied youth were reported as homeless. These persons are housed in shelters, emergency housing units, family facilities or motels or unsheltered. The total number of homeless persons declined from 1,531 in 2009 to 1,339 in 2010. That is a 12.5% decrease; due primarily to increase used of housing subsidies and increased focus on rapid re-housing and eviction prevention, implemented with HPRP funding.

The City will continue to work with community-based non-profit organizations and DSS to assist in addressing the needs of the Mount Vernon's homeless population by providing essential services under the CDBG program.

Five (5) major not-for-profit organizations in the City of Mount Vernon that are very active in providing housing assistance and supportive services to the homeless population and to persons with special needs are:

i) ***WestHelp*** provides assistance to homeless families and/or persons making the transition to permanent housing and independent living. This housing complex provides transitional housing units to 46 families and it also provides on-site social services, day care, job training and other services that will assist homeless families find and keep permanent housing and employment. The Board of Directors is made up of local individuals and service providers that give input and review policy to insure local participation in decision making.

ii) ***WESTHAB, Inc.*** provides assistance to homeless single males in housing and supportive services. There are 63 beds for high functioning homeless men living in Vaughn Glanton Center. This center provides housing and supportive services to its residents. In addition, to this center, there are 124 transitional apartments scattered throughout the City. Finally, Westhab, Inc. owns and operates several multi-family buildings which provide permanent affordable housing to the homeless population being served by Westhab, Inc. and a very small number of apartments at these buildings are set aside as emergency shelters for the homeless population.

iii) ***Community Services Associates*** operates a breakfast, lunch and dinner meal program, five days each week serving an average of 100 homeless and hungry Mount

Vernon residents each evening. It also operates a Crisis Intervention Center at this site providing counseling, information and referral services to its clients. This center is comprised of trained staff (both paid and volunteer) that provides emergency assistance and drop-in services. In year 2010, approximately 1,500 individuals received assistance in job training and employment referrals, information and referrals, education (literacy training), pantry letters, after school program, women's support group and crisis counseling.

*iv) Mount Vernon Community Action Program (CAG)* is a grassroots community based organization that has been in existence since the early 1970's and has a strong track record in providing an enormous amount of services to residents and the homeless in the City of Mount Vernon. CAG provides advocacy, information and referral, emergency food pantry, Home Energy Assistance Program among and other services for the homeless. In 2010, it is anticipated that CAG will advocate and serve over 400 individuals and families.

*v) Mount Vernon YMCA* as of December 2010, contracted with the County of Westchester to provide an overnight warming center for single homeless men for the winter months. The Center provides a bed to ten single homeless men seven nights per week. The YMCA staff has assisted and referred the individuals using the center to supportive services including food pantry, substance abuse programs and various social services agency. In addition, the YMCA is currently providing SRO units to individuals participating in the Shelter Plus Care Program.

### ***HIV/AIDS Population Housing Needs***

In addition to providing assistance to the homeless population, the City, under the ***HOPWA Program***, will address the need of persons with HIV/AIDS and their families to prevent homelessness among this population.

Mount Vernon received HOPWA funds through the County of Westchester to continue addressing the needs of HIV/AIDS persons and their families and to expand and coordinate existing HIV/AIDS resources available in the City.

The use of HOPWA funds will address the priorities needs developed by the Mount Vernon Task Force on AIDS in cooperation with Ryan White Network in Mount Vernon and other service providers related to housing and supportive services for persons with HIV/AIDS and their families. These priorities are as follows:

**PRIORITY 1: Case management and coordination of referrals to existing housing and support services for persons with HIV/AIDS.**

Prior to the inception of the HOPWA Program, the City of Mount Vernon had no housing or subsidies specifically for persons with HIV/AIDS. HIV/AIDS services were provided by various groups; however, these services were often inaccessible to Mount Vernon residents and were poorly coordinated. HOPWA has worked with providers such as Department of Social Services to coordinate training and provide technical assistance to insure that these providers are aware of the available resources and how to access them.

Persons with HIV/AIDS are often faced with overwhelming concrete and emotional needs, which may be both acute and chronic in nature which spans multiple service delivery systems (i.e. health, mental health, substance abuse, entitlement, etc.). Case management is the mechanism for linking clients unable to access services on their own and ensuring coordination of services. Currently, 65 households are receiving one-on-one case management services. During FY 2011, approximately 75 households will receive one-on-one case management services at an intensive and non-intensive level of training.

**PRIORITY 2: Nutritional Services**

The City has a comprehensive nutritional services program. The City, under the HOPWA Program contracted with nutritional consultants to insure that the nutritional needs of persons with HIV/AIDS and their families are being met. Recognizing the increase need of this service due to recent welfare reforms two (2) non-profit based organizations were contracted to provide nutritional services through the pantry bag delivery system by awarding HOPWA funds to serve a total of 85 individuals and families. \$80,000 of HOPWA funds has been set aside for Nutrition Services to persons with HIV/AIDS and

their families living in Mount Vernon and can demonstrate an economic need for this service.

***PRIORITY 3: Creation of housing opportunities in Mount Vernon for persons living with HIV/AIDS and their families.***

This priority responds to information provided by the Department of Social Service, The Ryan White needs assessment, consumer and provider focus groups and formal questionnaires. A direct link between the Department of Social Services, Westhab, WESTHELP, the Westchester County Section 8 Program and persons with HIV/AIDS Service Providers has been established.

The ability to provide appropriate affordable housing to this population has become more difficult. The City of Mount Vernon has 12 HOPWA TBRA units leased-up to Mount Vernon residents and administers 3 TBRA units for the City of New Rochelle residents through the HOPWA Program. In addition, the County of Westchester has over 120 units operated by Grace Church Community Center Housing Program. Currently, there are over 100 persons on the waiting list which result in a six-month waiting period. The County of Westchester increased its capacity to house singles who are HIV-positive through three capital projects. As previously stated, 95% of persons living with HIV/AIDS in Mount Vernon fit a low-income profile and many receive benefits from the Department of Social Services. Due to the gap between Department of Social Services' rental allowances and the average rental rates for apartments in Mount Vernon, many families are forced to rent illegal rooms and apartments which are substandard and create additional health concerns. Most families are unwilling to enter the homeless system as they fear they will become more susceptible to drug use, tuberculosis and hepatitis.

***PRIORITY 4: Short term rent, mortgage and utility payments to prevent homelessness.***

Most persons with HIV/AIDS are eventually faced with fixed and limited incomes, through public assistance or disability benefits. These limited resources are further worsened by the extraordinary medical costs associated with the treatment and

management of HIV/AIDS and the high costs of living associated with living in New York especially Westchester County.

Given the numerous financial challenges faced by persons with HIV/AIDS, it was noted that the following in-house programs will provide assistance to the HIV/AIDS population by preventing homelessness due financial limitations:

***Shallow Rent - Rental Assistance (SRRA)*** is a full rental subsidy program that models the Section 8 Program in which the tenant pays thirty (30%) percent of their adjusted monthly income towards the rent. Individuals must have an HIV/AIDS diagnosis and low income. The program has the capacity to assist up to 15 individuals.

**C. RESOURCES AND ACTIVITIES TO BE UNDERTAKEN IN RELATIONSHIP TO  
NON-HOUSING COMMUNITY DEVELOPMENT PRIORITY NEEDS**

***PRIORITY 1: To increase the incomes and future economic prospects of Mount Vernon's low-income residents through increased private-sector employment opportunities.***

Mount Vernon will continue to fund the Commercial Façade Improvement Program and Neighborhood Business District Improvements with targeted activities to include redevelopment of downtown Mount Vernon, streetscape improvements, beautification, and rehabilitation of commercial spaces.

Targeted activities will also include revitalization of the Sandford Boulevard / Mount Vernon Avenue commercial areas, including streetscape improvements, beautification, and rehabilitation of commercial spaces.

The City of Mount Vernon utilized the CDBG-R funding to implement public facilities improvement in the Third Street Corridor between South First Avenue and South Tenth Avenue.

***PRIORITY 2: To improve the livability of neighborhoods through improvements to city infrastructure.***

The City of Mount Vernon intends to utilize the CDBG-R funding to implement public facilities improvement in the Third Street Corridor between South First Avenue and South Tenth Avenue. The public facilities improvements include signal timing to facilitate traffic operations and flow, and therefore, reduce vehicle emission resulting in improved air quality. The project benefits are a reduction in travel time and fuel consumption to the motorist, promotion of energy efficiency through the installation of new LED signals, and enhancing accessibility for individuals with disabilities thorough the removal of architectural barriers at pedestrian crosswalks.

***PRIORITY 3: To increase access to public services which enhance the quality of life and encourage self-sufficiency for low-income residents.***

The City will continue to provide support to not-for-profit organizations that deliver services to very low and low income residents such as day care scholarships, nutritional services, substance abuse prevention, mental health counseling, youth programs, job training programs, education programs, domestic violence counseling, teenage pregnancy prevention, recreational programs, etc. Particular emphasis will be placed on services which will help residents to gain and maintain employment, such as employment services, education, and childcare.

The City of Mount Vernon has identified youth problems as one of its primary service needs. There are several effective initiatives in place to help address the needs of youth, including organizations providing services and programs for the city's young people. Organizations including Westchester Community Opportunity Program, Family Services of Westchester, and others provide educational, supportive and recreational programs serving Mount Vernon youth.

Funding for youth services will include the Mount Vernon Youth Bureau's Youth Employment Program and the Scholarship Program. The Mount Vernon Youth Bureau is the lead agency for municipal youth programming. With a focus on youth advocacy, juvenile delinquency prevention and youth development, the Mount Vernon Youth Bureau provides direct services through after-school, intergenerational, job readiness training and employment programs; referrals; advocacy projects; assessments and counseling. The Mount Vernon Youth Bureau serves the community by developing inter-agency linkages as well as safe and effective projects for ages 7-21. Other youth services to be funded are identified in the 2010 CDBG Proposed Budget.

## D. OTHER ACTIONS

### I. General

For the purpose of identifying "underserved" population, the City will consider the "Non- Homeless Special Needs Population" as "underserved" because direct financial assistance is not provided in the 2010-2014 Consolidated Plan and the 2011 Action Plan. The City's position in addressing obstacles to meeting underserved needs is to continue supporting and coordinating assistance among the groups that provide services to the "Non-Homeless Special Needs Population."

The City of Mount Vernon by continuing addressing the four (4) priority housing needs previously identified will indirectly provide services to the "underserved" population. These priority housing needs are as follows:

***PRIORITY 1: To preserve and upgrade the existing housing stock so that extremely, very low, low and moderate income owners and renters can live in affordable units that meet necessary codes and standards;***

***PRIORITY 2: To continue to provide assistance to low, very low and extremely low income households with alleviating the cost burden for housing that meets housing quality standards;***

***PRIORITY 3: To increase affordable homeownership opportunities to low and moderate income households; and***

***PRIORITY 4: To continue to assist its homeless residents by providing housing and supportive public services and to prevent homelessness by providing those at risk with supportive services. This priority includes the special needs population of persons with disabilities; the elderly; persons with AIDS; and low income families.***

These needs provide permanent and non-permanent housing for the very low and low/moderate income population, the elderly population and the homeless population



in Mount Vernon. Through use of CDBG, HOME and HOPWA funds, and other federal, state and local funds as well as private foundations and corporate funding, the City can provide assistance to these groups who are least able to find adequate housing in the private market.

## **II. Public Policies**

The City of Mount Vernon will continue to foster and support affordable housing development by identifying potential affordable housing sites and assisting private developers and non-for profit organizations in the development of affordable housing projects. The City's HOME program funds will be used to address this type of development.

The City will continue to support the removal of any negative effects of discriminatory public policies whenever possible. As identified in the 2010-2014 consolidated plan, the City described the public policies barriers to affordable housing as follows:

The primary barriers to affordable housing in Mount Vernon are the high market costs of housing relative to incomes as well as the lack of undeveloped land on which to develop new housing. As the staff of the Department of Planning & Community Development serves as technical staff to the Mount Vernon Urban Renewal Agency, the Industrial Development Agency, the Planning Board and Zoning Board of Appeals and the Architectural Review Board, we have worked to address public policy barriers to affordable housing development to the extent possible while maintaining housing quality standards. As a result, our primary strategies to address barriers to affordable housing are the creation of jobs for city residents as well as the provision of support services which enhance prospects for self-sufficiency, such as job training, adult education, and childcare.

## **III. Lead-Based Paint Hazards**

The City of Mount Vernon will continue to evaluate and identify properties and buildings for lead-based paint hazards under the Housing Rehabilitation and Section

8 Programs. Buildings and/or properties identified as potential hazards will be referred to the County of Westchester Department of Health for appropriate action. The City, under its Housing Rehabilitation Programs, and the Department of Health work together to provide assistance to specific properties where lead-based paint exists. Westchester County provides 100% financial assistance in eliminating this hazardous condition, and the City corrects substandard conditions and the removal of building code violations.

#### **IV. Efforts to Reduce the Number of Poverty Level Families**

Mount Vernon's anti-poverty strategy centers on creating jobs for low-income residents through economic development activities, expanding city-run job training programs, and enhancing connections to county-wide employment services such as those available through the Westchester County Workforce Investment Board.

Programs and resources to reduce the number of poverty-level families include several services offered by Westchester County. The Westchester Workforce Investment Board operates a satellite One-Stop Center in downtown Mount Vernon. Job seekers use the center services to prepare for, find and keep their jobs. Among the resources available to job seekers are: computers and the internet, labor market information, job preparation workshops and individual employment counselors to help guide customers through the job search process. The Center's employment counselors can also connect eligible clients with WIA-funded services such as training vouchers and employment retention services. Public assistance recipients residing in Mount Vernon receive benefits through the Department of Social Services, which requires all clients to participate in County funded employment training, adult basic education, and job search activities. Westchester BOCES also provides adult basic education, ESL, and job training to all residents of Westchester County.

#### **V. Institutional Structure**

The City of Mount Vernon, through the Department of Planning and Community Development (PCD), administers a variety of Federal, State and local funded

programs designed to improve the physical, social and economic life of the City of Mount Vernon. PCD also acts as technical staff to the Mount Vernon Urban Renewal Agency, the Industrial Development Agency, Planning Board and Zoning Board of Appeals and the Architectural Review Board reviewing all cases appearing before them. Additionally, PCD initiates specific development projects to address specific economic and housing needs, and coordinates the long range planning for the City. Prime areas of emphasis for PCD have been City Planning and Zoning; Housing Management, Rehabilitation and Housing Development; Economic Development; Urban Renewal; Environmental Assessment; Neighborhood Preservation; Community Relations and Grant Procurement.

PCD provides technical assistance to the various non-profit housing and social service providers in the City. These agencies lack enough money to meet the affordable housing needs of their clients and are becoming increasingly overburdened due to the need to provide more social services to them. PCD also works with private for-profit developers by providing technical assistance to them that may include help in getting through the various approval processes and making them aware of possible state or federal funding sources. They are generally strong in securing private financing.

Finally, PCD is an active participant in various efforts to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies. This effort includes the funding of several programs operated by such agencies on a sub-recipient basis as part of the CDBG program as well as membership by PCD staff in various committees and coordination groups.

## **VI. Public Housing**

As previously stated, there are no federally-assisted public housing developments in Mount Vernon. The Mount Vernon Housing Authority previously owned and operated a New York State-assisted project, Levister Towers, which was recently privatized in a process coordinated by PCD.

**VII. Coordination Efforts**

The Mount Vernon Department of Planning and Community Development is an active participant in various efforts to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies. At the local level, PCD convenes regular meetings of city-funded service providers to oversee strategy implementation and to ensure coordination of effort. At the county level, Mount Vernon participates in the Westchester Continuum of Care for the Homeless, which coordinates a county-wide continuum of services for the homeless, including special needs populations. PCD also participates in countywide planning efforts sponsored by the Westchester County Departments of Health and Community Mental Health. Services to persons with HIV/AIDS are coordinated by a working group convened at the regional level by the New York State AIDS Institute in which Mount Vernon's HOPWA staff participates.

Mount Vernon does not propose to undertake any additional activities to enhance coordination between public and assisted housing providers and private and governmental health, and service agencies during the fiscal year 2011.

**VIII. Monitoring Standards and Procedures**

The City of Mount Vernon has established an effective monitoring plan to provide guidance for monitoring its sub-recipients, third party agencies and all housing and housing related activities undertaken with CDBG funds received by the City and to include activities undertaken with the HOME program and any other federal program in which the City may be directly involved. These monitoring activities will be carried out regularly to insure that statutory and regulatory requirements are being met and that the information being submitted is correct and complete. This monitoring plan provides the Department of Planning and Community Development (PCD) with annual reports containing statistical and financial data on the various federal programs, an analysis of the persons and households served including family size, income and ethnicity and the location of all activities. PCD staff performs desk and on-site monitoring reviews to ensure compliance of program requirements. PCD also receives complete and accurate financial records from third party agencies. All sub-

recipient third party agencies receive full packages of information from PCD on the requirements of the program, including all equal opportunity and fair housing information and the City's policy on residential displacement and relocation assistance. Technical assistance is provided to any sub-recipient or third party agencies if required. The overall goal of this monitoring is to be able to identify any deficiencies in the maintenance of documents and to be able to provide guidance to improve and reinforce performance. PCD monitors all new service programs annually. In addition, PCD reviews and monitors all programs at least once every three years and the programs having deficiencies with draw down and reporting are monitored annually.

PCD Staff prepares all HUD required reports including the Consolidated Annual Performance Evaluation Report (CAPER) and any other report required by HUD. In addition, PCD Staff is responsible for maintaining the Integrated Disbursement Information Systems up-to-date with all of the City's projects for prior and current allocations. This system is used as a tracking device to maintain accounts for program expenditures under the CDBG and HOME programs. The City does not anticipate changing its method of monitoring at this time.

## E. FISCAL YEAR 2011 CDBG BUDGET

## 2011 Community Development Block Grant Proposed Budget

ACTIVITY	AMOUNT	ACTIVITY	AMOUNT
<b>I. NEIGHBORHOOD REVITALIZATION</b>		<b>III. ECONOMIC DEVELOPMENT</b>	
Commercial Facade Improvement	\$ 50,000	African American Chamber of Commerce	\$18,500
Neighborhood Business District Improvements	300,000		
Special Code Enforcement	150,000	Sub-Total	\$18,500
Housing Rehabilitation Technical Assistance	379,578		
Small & Multi Family Rehabilitation	240,000		
Sub-Total	\$ 1,119,578	<b>IV. PLANNING AND ADMINISTRATION</b>	
		General Administration	\$ 388,520
		Sub-Total	\$ 388,520
<b>II. PUBLIC SERVICES</b>		<b>V. CONTINGENCIES</b>	
Construction Trades Training Program	200,000		\$ 40,000
Collin Allen Day Care	3,000		
Community Service Associates	20,000		
Jewish Council of Yonkers	3,000		
Knights of the Square Table	3,000		
Literacy Volunteers of America (Westchester Chap)	5,000		
National Builders Assoc	10,000		
Mount Vernon Day Care Center	3,000		
Mount Vernon Basketball Association	3,000		
Mount Vernon United Tenants, Inc.	5,000		
New Beginnings Educational Institute	3,000		
Recreation Dept. Razorbacks Football	5,000		
Recreation Dept. (Weekend & Holiday Nutrition)	10,000		
Recreation Dept. Basketball League	5,000		
Recreation Dept. Teen in Action	5,000		
Trinity Place Community Center	3,000		
Westchester Co-Op (APPS Program)	3,000		
Westchester Co-Op (CAG Day Care)	3,000		
Westchester Co-Op (Victims Assistance)	5,000		
Westchester Co-Op (Toddlers Park Daycare)	3,000		
Westchester Co-Op (Community School Initiative)	5,000		
Westchester Jewish Community Council	3,000		
Westchester Residential Opportunities, Inc. (Senior Housing Counseling)	5,000		
Westchester Residential Opportunities, Inc. (Fair Housing Counseling)	10,000		
Women's Welfare Club	5,000		
Youth Bureau (Youth Employment Program)	20,000		
Youth Bureau (Scholarship Program)	25,000		
Sub-Total	\$ 368,000		
		<b>TOTAL 2011 CDBG BUDGET</b>	<b>\$ 1,942,598</b>

## **Appendix A**

### **HUD Tables**

**Table 3A**  
**City of Mount Vernon**  
**Summary of Specific Annual Objectives**

Obj #	Specific Objectives	Sources of Funds	Performance Indicators	Expected Number	Actual Number	Outcome/Objective**
<b>Rental Housing Objectives</b>						
1	Rehabilitation of existing rental units	HOME	# of units rehabilitated	116		DH-2
2	Provide rental assistance to very low and low income families	Westchester County Section 8 Program	# of households provided rental assistance	1,400		DH-2
3	Create new affordable rental housing	HOME	# of new affordable rental units	22		DH-1
<b>Owner Housing Objectives</b>						
4	Rehabilitation of existing owner units	CDBG	# of units rehabilitated	4		DH-2
<b>Homeless Objectives</b>						
5	Provide emergency shelter, transitional housing and supportive services and prevent homelessness by providing those at risk with supportive services.	CDBG and Westchester County Continuum of Care	# of homeless individuals/families served	1,800		DH-2
6	Provide short term rent, mortgage and utility payments to prevent homelessness	HOPWA	# of individuals/families served	12		DH-2
<b>Special Needs Objectives</b>						
7	Provide case management and coordination of referrals to existing housing and support services	HOPWA and Continuum of Care	# of individuals/families served	105		SL-3
8	Provide nutritional services to persons with HIV/AIDS and their families.	HOPWA	# of persons/families served	85		SL-3
9	Create housing opportunities for persons living with HIV/AIDS and their families	HOPWA	# of persons/families served	124		DH-2
<b>Community Development Objectives</b>						
10	Increase incomes and future economic prospects of low-income residents through increased private sector employment opportunities.	CDBG	Linear feet of street or sidewalk and # of commercial establishments rehabilitated			SL-3
11	Commercial façade renovation	CDBG	# of businesses assisted or facades renovated	10		EO-3
12	Provide sign grants	CDBG	# of businesses assisted	10		EO-3
<b>Infrastructure Objectives</b>						
13	Improve the livability of neighborhoods through improvements to city infrastructure	CDBG	Linear feet of improvements			SL-3



	<b>Public Services Objectives</b>					
14	Increase access to public services which enhance the quality of life and encourage self-sufficiency for low-income residents	CDBG	# of persons served	2,154		SL-3
	<b>Economic Development Objectives</b>					
15	Provide job training, counseling and educational assistance	CDBG	# of participants completing employment and training programs	248		EO-1
16	Provide loans or technical assistance to small businesses that will hire residents	CDBG	# of businesses assisted and/or jobs created or retained	10		EO-1
	<b>Other Objectives</b>					

**\*\*Outcome/Objective Codes**

	<b>Availability/Accessibility</b>	<b>Affordability</b>	<b>Sustainability</b>
<b>Decent Housing</b>	DH-1	DH-2	DH-3
<b>Suitable Living Environment</b>	SL-1	SL-2	SL-3
<b>Economic Opportunity</b>	EO-1	EO-2	EO-3

**Table 3B**  
**City of Mount Vernon**  
**ANNUAL AFFORDABLE HOUSING COMPLETION GOALS**

Grantee Name: City of Mount Vernon Program Year: 2011	Expected Annual Number of Units To Be Completed	Actual Annual Number of Units Completed	Resources used during the period			
			CDBG	HOME	ESG	HOPWA
<b>BENEFICIARY GOALS (Sec. 215 Only)</b>						
Homeless households	11		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Non-homeless households	1,706		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Special needs households	12		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>Total Sec. 215 Beneficiaries*</b>	1,728		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>RENTAL GOALS (Sec. 215 Only)</b>						
Acquisition of existing units	0		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units	260		<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units	116		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance	1,425		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
<b>Total Sec. 215 Affordable Rental</b>	1,801		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>HOME OWNER GOALS (Sec. 215 Only)</b>						
Acquisition of existing units	0		<input type="checkbox"/>	<input type="checkbox"/>		
Production of new units	0		<input type="checkbox"/>	<input type="checkbox"/>		
Rehabilitation of existing units	4		<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Homebuyer Assistance	0		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
<b>Total Sec. 215 Affordable Owner</b>	4		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>COMBINED RENTAL AND OWNER GOALS (Sec. 215 Only)</b>						
Acquisition of existing units	0		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units	260		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units	120		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance	1,425		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Homebuyer Assistance	0		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
<b>Combined Total Sec. 215 Goals*</b>	1,805		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>OVERALL HOUSING GOALS (Sec. 215 + Other Affordable Housing)</b>						
Annual Rental Housing Goal	1,838		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Annual Owner Housing Goal	12		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Total Overall Housing Goal</b>	1,850		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Note: Rental assistance includes 1,403 Section 8 households receiving assistance through the Westchester County Section 8 Program.

\* The total amounts for "Combined Total Sec. 215 Goals" and "Total Sec. 215 Beneficiary Goals" should be the same number.

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Economic Development

**Project Title:** Commercial Facade Improvement Program

**Project Description:** Funds used for Technical Assistance: Incentive Grants and interest reduction payments to eligible businesses/property owners in the renovation of the exterior of the commercial building facades.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0001
HUD Matrix Code: 14E	CDBG Citation: 570.202
Type of Recipient: Local Government	CDBG National Objective: 570.208(a)(1)-Low /Mod Area
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Businesses	Annual Units: 10
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$50,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$50,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Infrastructure

**Project Title:** Neighborhood Business District Improvements

**Project Description:** Funds used to further enhance commercial revitalization efforts. Creating this program will allow streetscape improvements to selected business districts where significant private investments will be made by local businesses.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** CT: 003500 BG: 1 County: 36119  
 CT: 003500 BG: 3 County: 36119  
 CT: 003000 BG: 1 County: 36119  
 CT: 003100 BG: 1 County: 36119  
 CT: 003200 BG: 1 County: 36119  
 CT: 002700 BG: 2 County: 36119

Specific Objective Number:	Project ID: 0002
HUD Matrix Code: 03L	CDBG Citation: 570.201(c)
Type of Recipient: Local Government	CDBG National Objective: 570.208(a)(1)–Low /Mod Area
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: People (General)	Annual Units: 5,000
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$300,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$300,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Housing

**Project Title:** Special Code Enforcement

**Project Description:** Funds to be used for inspections for violations of housing codes in the CD target area.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** CT: 002900 BG: 1 County: 36119  
 CT: 002900 BG: 2 County: 36119  
 CT: 003100 BG: 1 County: 36119  
 CT: 003100 BG: 2 County: 36119  
 CT: 003200 BG: 1 County: 36119  
 CT: 003200 BG: 2 County: 36119  
 CT: 003600 BG: 2 County: 36119  
 CT: 003500 BG: 1 County: 36119  
 CT: 003500 BG: 2 County: 36119  
 CT: 003500 BG: 3 County: 36119

Specific Objective Number:	Project ID: 0003
HUD Matrix Code: 15	CDBG Citation: 570.202(c)
Type of Recipient: Local Government	CDBG National Objective: 570.208(a)(3)–Low/Mod Housing
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Housing Units	Annual Units: 1000
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$150,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$150,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Housing

**Project Title:** Housing Rehabilitation Technical Assistance

**Project Description:** Funds used for housing rehabilitation technical assistance staff such as salaries, operation costs and supportive services for the continued operation of the housing rehabilitation programs.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** 213-215 West Third Street, Mount Vernon, NY 10550  
 45 South 15<sup>th</sup> Avenue, Mount Vernon, NY 10550  
 42 Union Avenue, Mount Vernon, NY 10550

Specific Objective Number:	Project ID: 0004
HUD Matrix Code: 14H	CDBG Citation: 570.202
Type of Recipient: Local Government	CDBG National Objective: 570.208(a)(2)-Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Housing Units	Annual Units: 12
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$379,578
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$379,578

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Housing

**Project Title:** Small and Multi Family Rehabilitation

**Project Description:** Funds used for rehabilitation of properties in the CD target area.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** 16 Glen Avenue, Mount Vernon, NY 10550  
 45 South 15<sup>th</sup> Avenue, Mount Vernon, NY 10550

Specific Objective Number:	Project ID: 0005
HUD Matrix Code: 14A	CDBG Citation: 570.202
Type of Recipient: Local Government	CDBG National Objective: 570.208(a)(3)-Low /Mod Housing
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Housing Units	Annual Units: 4
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$240,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$240,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Public Services

**Project Title:** Construction Trades Training Program

**Project Description:** Training individuals who, traditionally, have been disadvantaged by facilitating their independence through training in basic construction skills, equipping the participants in the training program with marketable skills in the construction trade.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0006
HUD Matrix Code: 05H	CDBG Citation: 570.201(e)
Type of Recipient: Local Government	CDBG National Objective: 570.208(a)(4)—Low /Mod Jobs
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Jobs	Annual Units: 20
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$200,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$200,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs



**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Youth Programs

**Project Title:** Collin Allen Day Care Center

**Project Description:** Day care scholarships for eligible low/moderate income families.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** 103 West Second Street,  
Mount Vernon, NY 10550

Specific Objective Number:	Project ID: 0007
HUD Matrix Code: 05	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 5
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$3,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$3,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Public Services

**Project Title:** Community Service Associates

**Project Description:** Funds used for the operation of a soup kitchen serving breakfast and dinner.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** 115 Sharpe Blvd.  
Mount Vernon, NY

Specific Objective Number:	Project ID: 0008
HUD Matrix Code: 05	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: People (General)	Annual Units: 150
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$20,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$20,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Public Services

**Project Title:** Jewish Council of Yonkers

**Project Description:** Provide an academic mentoring program.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** 461 South Sixth Avenue  
Mount Vernon, NY

Specific Objective Number:	Project ID: 0009
HUD Matrix Code: 05D	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 80
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$3,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$3,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Public Services

**Project Title:** Knights of the Square Table

**Project Description:** Funds will be used for a chess and homework help program for elementary school students.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0010
HUD Matrix Code: 05	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)-Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 12
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$3,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$3,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Public Services

**Project Title:** Literacy Volunteers of America – Westchester Chapter

**Project Description:** Funds used for the adult literacy program

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** 135 Union Avenue  
Mount Vernon, NY 10550

Specific Objective Number:	Project ID: 0011
HUD Matrix Code: 05	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: People	Annual Units: 10
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$5,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$5,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Public Services

**Project Title:** National Builders Assoc

**Project Description:** Funds used for OSHA training program.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0012
HUD Matrix Code: 05H	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)-Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 10
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$20,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$20,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Public Services

**Project Title:** Mount Vernon Daycare Center

**Project Description:** Day care scholarships for eligible low/moderate income families.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0013
HUD Matrix Code: 05D	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 100
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$3,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$3,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Public Services

**Project Title:** Mount Vernon Basketball Association

**Project Description:** Funding for a Youth basketball program.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0014
HUD Matrix Code: 05D	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 100
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$3,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$3,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs



**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Public Services

**Project Title:** Mount Vernon United Tenants, Inc.

**Project Description:** Funds used to provide an educational program for tenants and landlords.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0015
HUD Matrix Code: 05K	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 15
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Public Services

**Project Title:** New Beginnings Educational Institute

**Project Description:** Daycare scholarship program.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** 115 South Fifth Avenue  
Mount Vernon, NY

Specific Objective Number:	Project ID: 0016
HUD Matrix Code: 05L	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 10
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$3,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$3,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Youth Programs

**Project Title:** Recreation Dept. – Razorbacks Football Program

**Project Description:** Funds will be used for the purchase of new and reconditioning of equipment for the youth football program.

**Objective category:**  Suitable Living Environment     Decent Housing     Economic Opportunity  
**Outcome category:**  Availability/Accessibility     Affordability     Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0017
HUD Matrix Code: 05D	CDBG Citation: 570.201(e)
Type of Recipient: Local Government	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 150
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Senior Programs

**Project Title:** Recreation Dept. - Weekend & Holiday Nutrition Program

**Project Description:** Funds provide a nutrition program for seniors 365 days per year

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0018
HUD Matrix Code: 05A	CDBG Citation: 570.201(e)
Type of Recipient: Local Government	CDBG National Objective: 570.208(a)(2)-Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Elderly	Annual Units: 90
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$10,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$10,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Youth Programs

**Project Title:** Recreation Dept. – Basketball League

**Project Description:** Funds used for youth basketball program.

**Objective category:**  Suitable Living Environment     Decent Housing     Economic Opportunity  
**Outcome category:**  Availability/Accessibility     Affordability     Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0019
HUD Matrix Code: 05D	CDBG Citation: 570.201(e)
Type of Recipient: Local Government	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 200
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$5,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$5,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Youth Programs

**Project Title:** Recreation Dept. – Teen in Action

**Project Description:** Funds will be used for Summer Teen Camp. This program provides a safe environment for teens who have graduated out of the traditional camp programs and keep them off the streets and out of trouble. The program addresses the lack of commitment from youths to the preservation and respect of their communities and lives. In addition to the mentoring, students are required to perform community service activities.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0020
HUD Matrix Code: 05D	CDBG Citation: 570.201(e)
Type of Recipient: Local Government	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 37
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$5,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$5,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Public Services

**Project Title:** Trinity Place Community Center – After school Program

**Project Description:** The program intends to build skills in our youth. Boxing helps the youths develop positive attitude, physical strength and the skills necessary to develop to the professional level. Boxing has been used over the years to reduce tension and develop relationships among the youths with authority.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** 250 South Sixth Avenue  
Mount Vernon, NY 10550

Specific Objective Number:	Project ID: 0021
HUD Matrix Code: 05D	CDBG Citation: 570.201(e)
Type of Recipient: Local Government	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 10
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$3,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$3,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Public Services

**Project Title:** Westchester Co-Op – APPS Program

**Project Description:** Funds used for support and employment training to teen fathers.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** 250 South Sixth Avenue  
Mount Vernon, NY 10550

Specific Objective Number:	Project ID: 0022
HUD Matrix Code: 05H	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 9
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$3,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$3,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs



**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Youth Programs

**Project Title:** Westchester Co-Op – CAG Day Care

**Project Description:** Funds will provide day care scholarships to eligible families.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** 250 South Sixth Avenue  
Mount Vernon, NY 10550

Specific Objective Number:	Project ID: 0023
HUD Matrix Code: 05D	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 4
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$3,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$3,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Anti-Crime Programs

**Project Title:** Westchester Co-Op – Victims Assistance Program

**Project Description:** To provide free, confidential services to domestic violence victims and their children, counseling, lock changes, advocacy, safety planning and crime victims board compensation.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** Roosevelt Square North  
Mount Vernon, NY 10550

Specific Objective Number:	Project ID: 0024
HUD Matrix Code: 05I	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: People (General)	Annual Units: 100
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$5,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$5,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Youth Programs

**Project Title:** Westchester Co-Op – Toddler's Park Daycare Center

**Project Description:** Funds will provide day care scholarships to eligible low/mod income families.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** 260 South Fourth Avenue  
Mount Vernon, NY 10550

Specific Objective Number:	Project ID: 0025
HUD Matrix Code: 05D	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 3
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$3,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$3,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Public Services

**Project Title:** Westchester Co-Op – Community School Initiative

**Project Description:** Funds used for the operation of a tutoring and mentoring program to at risk middle school students.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0026
HUD Matrix Code: 05	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 130
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$5,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$5,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Public Services

**Project Title:** Westchester Jewish Community Council

**Project Description:** Funds used for an after school academic and social program for at risk elementary school students.

**Objective category:**  Suitable Living Environment     Decent Housing     Economic Opportunity  
**Outcome category:**  Availability/Accessibility     Affordability     Sustainability

**Location/Target Area:** 20 Oak Street  
Mount Vernon, NY

Specific Objective Number:	Project ID: 0027
HUD Matrix Code: 05D	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 100
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$3,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$3,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Senior Programs

**Project Title:** Westchester Residential Opportunities, Inc.

**Project Description:** The program provides housing counseling and referral services to seniors.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0028
HUD Matrix Code: 05A	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)-Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Elderly	Annual Units: 25
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$5,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$5,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Fair Housing Program

**Project Title:** Westchester Residential Opportunities, Inc.

**Project Description:** The program provides Fair Housing counseling services.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0029
HUD Matrix Code: 05J	CDBG Citation: 570.201(e)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Elderly	Annual Units: 25
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$10,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$10,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Youth Programs

**Project Title:** Youth Bureau – Youth Employment Program

**Project Description:** Funds to be used to provide employment and training opportunities for youths.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0030
HUD Matrix Code: 05D	CDBG Citation: 570.201(e)
Type of Recipient: Local Government	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 20
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$20,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$20,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs



**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Youth Programs

**Project Title:** Youth Bureau – Scholarship Program

**Project Description:** Funds used to assist low/mod students attending two or four year college, trade or technical school.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0031
HUD Matrix Code: 05D	CDBG Citation: 570.201(e)
Type of Recipient: Local Government	CDBG National Objective: 570.208(a)(2)–Low /Mod Limited Clientele
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Youth	Annual Units: 20
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$25,000
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$25,000

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Economic Development

**Project Title:** African American Chamber of Commerce – Technical Assistance

**Project Description:** Funds will be used to provide technical assistance to small and minority owned businesses.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** Community Wide

Specific Objective Number:	Project ID: 0032
HUD Matrix Code: 18B	CDBG Citation: 570.203(b)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective: 570.208(a)(4)–Low /Mod Jobs
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: People (General)	Annual Units: 10
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$18,500
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$18,500

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Planning & Administration

**Project Title:** Administration - General

**Project Description:** Funds used for general program administration.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** N/A

Specific Objective Number:	Project ID: 0033
HUD Matrix Code: 21A	CDBG Citation: 570.206
Type of Recipient: Local Government	CDBG National Objective:
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator:	Annual Units:
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	\$388,520
ESG	_____
HOME	_____
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
<b>Total</b>	<b>\$388,520</b>

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Housing

**Project Title:** HOME – Entitlement Program

**Project Description:** 75% of HOME entitlement funds allocation will be used for the development and construction of 177 units of affordable rentals for families.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** 203 Gramatan Avenue, Mount Vernon, NY 10550

Specific Objective Number:	Project ID: 0034
HUD Matrix Code: 12	CDBG Citation: 570.204
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective:
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator: Housing Units	Annual Units: 177
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	
ESG	
HOME	\$518,376
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$518,3765

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Planning & Administration

**Project Title:** HOME Program – Administrative Budget Line

**Project Description:** 10% of HOME allocation funds will be used for administrative costs.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** N/A

Specific Objective Number:	Project ID: 0035
HUD Matrix Code: 21H	CDBG Citation:
Type of Recipient: Local Government	CDBG National Objective:
Start Date: 01/01/2011	Completion Date: 12/31/2011
Performance Indicator:	Annual Units:
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	
ESG	
HOME	\$69,117
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$69,117

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

**Table 3C  
Consolidated Plan Listing of Projects**

**Jurisdiction's Name:** City of Mount Vernon

**Priority Need Category:** Housing

**Project Title:** HOME Affordable Housing Program Budget Line – CHDO

**Project Description:** 15% of HOME allocation funds to be used for the rehabilitation of 116 units of existing rental housing, which will be carried out by CHDOs.

**Objective category:**  Suitable Living Environment  Decent Housing  Economic Opportunity  
**Outcome category:**  Availability/Accessibility  Affordability  Sustainability

**Location/Target Area:** 70 East 4<sup>th</sup> Street, Mount Vernon, NY (32 units)  
 211-213 Franklin Avenue, Mount Vernon, NY (36 units)  
 54 South 2<sup>nd</sup> Avenue, Mount Vernon, NY (48 units)

Specific Objective Number:	Project ID: 0036
HUD Matrix Code: 13	CDBG Citation: 570.201(n)
Type of Recipient: Subrecipient Private 570.500(c)	CDBG National Objective:
Start Date: 01/01/2010	Completion Date: 12/31/2010
Performance Indicator: Housing Units	Annual Units: 116
Local ID:	Units Upon Completion:

**Funding Sources:**

CDBG	_____
ESG	_____
HOME	\$103,675
HOPWA	_____
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	\$103,675

The primary purpose of the project is to help:  the Homeless  Persons with HIV/AIDS  Persons with Disabilities  Public Housing Needs

## **Appendix B**

### **HUD Required Documentation**

## **CERTIFICATIONS**

### **1. Certifications**

- \* Affirmatively further fair housing
- \* Anti-displacement and relocation plan
- \* Drug free work place
- \* Anti-Lobbying requirements
- \* Authority of jurisdiction
- \* Consistency with plan
- \* Compliance of Section 3 of the Housing and Urban Development Act of 1968

### **2. CDBG Requirements**

- \* Citizen Participation Plan
- \* Community development Plan
- \* Following the approved Consolidated Plan
- \* Use of Funds
- \* Excessive Force
- \* Compliance with anti-discrimination laws
- \* Compliance with lead-based paint
- \* Compliance with laws

### **3. Specific HOME Certifications**

### **4. HOPWA Certifications**

### **5. Appendix to Certifications**



## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace** -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying** -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

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**Signature/Authorized Official**

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**Date**

**Clinton I. Young, Jr., Mayor**  
**Title**



## Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2011, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its notification, inspection, testing and abatement procedures concerning lead-based paint will comply with the requirements of 24 CFR §570.608;

**Compliance with Laws** -- It will comply with applicable laws.

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**Signature/Authorized Official**

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**Date**

**Clinton I. Young, Jr., Mayor**

**Title**

**OPTIONAL CERTIFICATION  
CDBG**

Submit the following certification only when one or more of the activities in the Action Plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c).

The grantee hereby certifies that the Action Plan includes one or more specifically identified CDBG assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to health or welfare of the community and other financial resources are not available to meet such needs.

\_\_\_\_\_  
**Signature/Authorized Official**

\_\_\_\_\_  
**Date**

**Clinton I. Young, Jr., Mayor**  
**Title**

## Specific HOME Certifications

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

**Monitoring HOME Assisted Units** — the participating jurisdiction intends to monitor HOME assisted projects for progress and compliance with the HOME program requirements.

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**Signature/Authorized Official**

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**Date**

**Clinton I. Young, Jr., Mayor**  
**Title**



## **HOPWA Certifications**

The HOPWA grantee certifies that:

**Activities** -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

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**Signature/Authorized Official**

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**Date**

**Clinton I. Young, Jr., Mayor**  
**Title**

## APPENDIX TO CERTIFICATIONS

### INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

#### A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

#### B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)  
**City Hall, Department of Planning & Community Development - 2<sup>nd</sup> floor,  
Mount Vernon, New York 10550**

Check \_\_\_ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, Subpart F.

7. Definitions of terms in the Non procurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

**"Controlled substance"** means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

**"Conviction"** means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

**"Criminal drug statute"** means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

**"Employee"** means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).